Image# 15971082254 PAGE 1 / 36

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

						Office Use Only	
NAME OF TOMMITTEE (in full)	YPE OR PRINT ▼		ample: If typir er the lines.	ng, type	12FE4M5		
Securities Industry and	Financial Mai	rkets Associa	ation Polit	ical Actior	Committ	ee	1
ADDRESS (number and street)	1101 New York Av	/enue, NW					
•	8th Floor						
Check if different than previously reported. (ACC)	Washington				DC	20005	
2. FEC IDENTIFICATION NUI	MBER ▼	CITY 🛦		S	STATE 🛦	ZIP CC	DDE 🛦
C C00431312		3. IS THIS REPORT		NEW N) OR	AM (A)	ENDED	
4. TYPE OF REPORT (Choose One)	(b) Monthly Report Due On:	Feb 20 (M2)	×	May 20 (M5)	Aug	20 (M8)	Nov 20 (M11) (Non-Election Year Only)
(a) Quarterly Reports:	Buc Oii.	Mar 20 (M3)		Jun 20 (M6)		20 (M9)	Dec 20 (M12) (Non-Election Year Only)
April 15 Quarterly Report (Q1	,	Apr 20 (M4)		Jul 20 (M7)	Oct 2	20 (M10)	Jan 31 (YE)
July 15 Quarterly Report (Q2	PRE-Ele		Primary (12P		General (Runoff (12R)
October 15 Quarterly Report (Q3	Report	for the:	Convention (12C)	Special (12S)	
January 31 Year-End Report (YE	(1)	Election on	M = M /	D D /	Y Y Y Y	in the State	of
July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day		General (300	ā)	Runoff (3	0R)	Special (30S)
Termination Report (TER)	Report 1	Election on	M = M /	D = D /	Y	in the State	of
5. Covering Period 04	/ D D / Y	2015	through	M M M	/ 30 /	2015	
I certify that I have examined this	Report and to the	e best of mv kno	wledge and b	pelief it is true	e. correct and	I complete.	
Type or Print Name of Treasurer	Mr. Kenneth E. Be	_					
Signature of Treasurer Mr. Ke	nneth E. Bentsen Jr.		[Electronically	Filed] Da	ate 05	/ 20 /	2015
NOTE: Submission of false, erroned	ous, or incomplete i	nformation may s	ubject the pers	son signing thi	s Report to th	e penalties of 2	U.S.C. §437g.
Office Use Only					,	FEC FOF Rev. 12/2	RM 3X

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

2015 04 30 2015 Report Covering the Period: From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 147691.99 January 1. 2015 (b) Cash on Hand at 107253.66 Beginning of Reporting Period..... 134494.73 51565.40 (c) Total Receipts (from Line 19) (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 158819.06 282186.72 6(a) and 6(c) for Column B)..... 43203.53 166571.19 Total Disbursements (from Line 31)...... Cash on Hand at Close of 8. Reporting Period 115615.53 115615.53 (subtract Line 7 from Line 6(d))..... Debts and Obligations Owed TO the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) 10. Debts and Obligations Owed BY the Committee (Itemize all on 0.00 Schedule C and/or Schedule D)

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

I. Receipts	I. Receipts COLUMN A Total This Period			
Contributions (other than loans) From:				
(a) Individuals/Persons Other				
Than Political Committees	00040.00	400045.00		
(i) Itemized (use Schedule A)	38240.69	102215.68		
(ii) Unitemized	, 6324.71	9911.39		
(iii) TOTAL (add Lines 11(a)(i) and (ii)▶	, 44565.40	112127.07		
(b) Political Party Committees	0.00	0.00		
(c) Other Political Committees (such as PACs)	7000.00	22000.00		
(d) Total Contributions (add Lines		7		
11(a)(iii), (b), and (c)) (Carry				
Totals to Line 33, page 5)	51565.40	134127.07		
2. Transfers From Affiliated/Other		7		
Party Committees	0.00	0.00		
i				
3. All Loans Received	0.00	0.00		
4. Loan Repayments Received	0.00	0.00		
Offsets To Operating Expenditures	7			
(Refunds, Rebates, etc.)				
(Carry Totals to Line 37, page 5)	0.00	367.66		
6. Refunds of Contributions Made	7	7		
to Federal Candidates and Other				
Political Committees	0.00	0.00		
7. Other Federal Receipts				
(Dividends, Interest, etc.)	0.00	0.00		
B. Transfers from Non-Federal and Levin Funds	3.00			
(a) Non-Federal Account				
(from Schedule H3)	0.00	0.00		
(b) Levin Funds (from Schedule H5)	0.00	0.00		
(2, _3, 2, 2, 25, 25,				
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00		
	51565.40	13448		
u. Tutai Federal Receipts				

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

	II. Disbursements	COLUMN B Calendar Year-to-Date			
. Opera	ating Expenditures: Allocated Federal/Non-Federal	Total This Period	Galeridai Tear-to-Date		
	Activity (from Schedule H4)				
((i) Federal Share	0.00	0.00		
((ii) Non-Federal Share	0.00	0.00		
`	Other Federal Operating				
	Expenditures	203.53	571.19		
	Total Operating Expenditures				
	(add 21(a)(i), (a)(ii), and (b))▶	203.53	571.19		
	sfers to Affiliated/Other Party	0.00	0.00		
. Contr	ributions to ral Candidates/Committees				
and (Other Political Committees	43000.00	166000.00		
	pendent Expenditures	0.00	0.00		
. Coord	Schedule E)dinated Party Expenditures	0.00	0.00		
(2 U.:	S.C. §441a(d)) Schedule F)	0.00	0.00		
(400	33.13dai - 7		7		
. Loan	Repayments Made	0.00	0.00		
		0.00	0.00		
. Loans . Refur	s Made nds of Contributions To:	0.00	0.00		
(a) <u>I</u>	ndividuals/Persons Other Than Political Committees	0.00	0.00		
			7 7		
	Political Party Committees	0.00	0.00		
(-)	Other Political Committees	0.00	0.00		
((such as PACs)	0.00			
(d) T	Total Contribution Refunds				
((add Lines 28(a), (b), and (c))▶	0.00	0.00		
Oth	D' l		0.00		
. Other	r Disbursements	0.00	0.00		
Fede	ral Election Activity (2 U.S.C. §431(20))				
	Allocated Federal Election Activity				
	(from Schedule H6)	0.00	0.00		
(i) Federal Share	0.00	0.00		
((ii) "Levin" Share	0.00	0.00		
,	Federal Election Activity Paid Entirely				
	With Federal Funds	0.00	0.00		
	Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00		
	Lines 30(a)(i), 30(a)(ii) and 30(b))	7	3.00		
Total	Disbursements (add Lines 21(c), 22,				
	4, 25, 26, 27, 28(d), 29 and 30(c))	43203.53	166571.19		
	Federal Disbursements				
(Subtr	ract Line 21(a)(ii) and Line 30(a)(ii) Line 31)	43203.53	166571.19		

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page **5**

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	51565.40	134127.07
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	51565.40	134127.07
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	203.53	571.19
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	367.66
3. Net Operating Expenditures (subtract Line 37 from Line 36)	203.53	203.53

Use separate schedule(s) for each category of the Detailed Summary Page

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

or for commercial purposes, other than using	the name and address of any political committee to	o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Finan	icial Markets Association Political Ad	ction Committee
Full Name (Last, First, Middle Initial) A. Mark Smith		Date of Receipt
Mailing Address 191 Clayton Lane Unit 304		04 022015
City	State Zip Code	Transaction ID : 22399652
Denver	CO 80206-5680	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer	Occupation	1
Raymond James Financial, Inc.	Branch Manager	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	250.00	Contribution
Full Name (Last, First, Middle Initial) 3. Ms. Lynn Faust		Date of Receipt
Mailing Address 101 W. Broad Street		M M / D D / Y Y Y Y Y
		04 02 2015
City	State Zip Code	Transaction ID: 22399653
Greenville	SC 29650-4447	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	250.00
Name of Employer	Occupation	1
Raymond James Financial, Inc.	Sr. VP	
Receipt For:	Aggregate Year-to-Date ▼]
Primary General Other (specify) ▼	250.00	Contribution
Full Name (Last, First, Middle Initial) Charles Weniger	.	Date of Receipt
Mailing Address 2220 Mermaid Place		04 10 2015
City	State Zip Code	Transaction ID : 22404433
Saint Petersburg	FL 33703-3442	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer	Occupation	1
Raymond James Financial, Inc.	Financial Advisor	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General		Contribution
Other (specify) ▼	250.00	
SUBTOTAL of Receipts This Page (optional))	750.00
TOTAL This Period (last page this line number	per only)	40 1 40 1

Use separate schedule(s) for each category of the Detailed Summary Page

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

or for commercial purposes, other than using the	ne name and address of any political committee to	solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Finance	ial Markets Association Political Ad	ction Committee
Full Name (Last, First, Middle Initial) Gennaro Marsico		Date of Receipt
Mailing Address 101 St. Tropez Circle		04 10 2015
City Beaver Falls	State Zip Code PA 15010-3174	Transaction ID : 22404440 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Raymond James Financial Services, Inc. Receipt For: Primary General	Occupation Vice President Investments Aggregate Year-to-Date ▼	Contribution
Other (specify) ▼ Full Name (Last, First, Middle Initial)	250.00	
Mr. Thomas D. Harrington Mailing Address 8654 Buttonwood Lane		Date of Receipt 04 10 2015
City Pinellas Park	State Zip Code FL 33782-4319	Transaction ID : 22404444 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C 30702 4013	500.00
Name of Employer Raymond James Financial, Inc. Receipt For:	Occupation Regional Director Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	500.00	Contribution
Full Name (Last, First, Middle Initial) John Ranson		Date of Receipt
Mailing Address 1511 Royal Oaks Road		04 10 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Charleston	State Zip Code WV 25314-1971	Transaction ID : 22404445 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer	Occupation	
Raymond James Financial Services, Inc. Receipt For: Primary General Other (specify) ▼	Branch Manager Aggregate Year-to-Date ▼ 250.00	Contribution
SUBTOTAL of Receipts This Page (optional)		1000.00
TOTAL This Period (last page this line number	r only)	

Use separate schedule(s) for each category of the Detailed Summary Page

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or for commercial purposes, other than using the	e name and address of any political committee to	solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Financi	al Markets Association Political Ac	ction Committee
Full Name (Last, First, Middle Initial) Mr. Dennis F. Schwartz Mailing Address, 277 Burwick Bood		Date of Receipt
Mailing Address 277 Burwick Road		04 10 2015
City	State Zip Code	Transaction ID : 22404449
Highland Heights	OH 44143-3818	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer	Occupation	
Raymond James Financial, Inc.	First Vice President, Investments	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Edward G. Sella		Date of Receipt
Mailing Address 9515 W. Stanhope Road	Chota 7: Code	04 10 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Kensington	State Zip Code MD 20895-3524	Transaction ID : 22404450 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C 20093-3324	Amount of Each Receipt this Period
Name of Employer	Occupation	
SPC Financial Inc.	Registered Principal	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	Contribution
Full Name (Last, First, Middle Initial) Can Thompson		Date of Receipt
Mailing Address 5202 Lexington Rd		04 10 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Paris	State Zip Code KY 40361-9047	Transaction ID : 22404457 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer	Occupation	
Raymond James Financial, Inc.	Regional Manager	
Receipt For: Primary General	Aggregate Year-to-Date ▼	Contribution
Other (specify)	250.00	Contribution
SUBTOTAL of Receipts This Page (optional)		1500.00
TOTAL This Period (last page this line number	only)	

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)

	Full Name (Last, First, Middle Initial)					
۹.	Ms. Darlene H. Hybels	Date of Receipt				
	Mailing Address 4008 E. Hillandale Drive		04 10 2015			
	City	State Zip Code	Transaction ID : 22404464			
	Kalamazoo	MI 49008-4107	Amount of Each Receipt this Period			
	FEC ID number of contributing federal political committee.	C	1000.00			
	Name of Employer	Occupation				
	Raymond James Financial, Inc.	Certified Financial Planner				
	Receipt For:	Aggregate Year-to-Date ▼				
	Primary General Other (specify) ▼	1000.00	Contribution			
3	Full Name (Last, First, Middle Initial) Patrick McFawn		Date of Receipt			
•	Mailing Address 718 Fieldstone		M M / D D / Y Y Y Y			
	The indicate in the indicate i		04 10 2015			
	City	State Zip Code	Transaction ID: 22404465			
	Rochester Hills	MI 48309-1634	Amount of Each Receipt this Period			
	FEC ID number of contributing federal political committee.	C	250.00			
	Name of Employer	Occupation				
	Raymond James Financial, Inc.	Financial Advisor				
	Receipt For:	Aggregate Year-to-Date ▼				
	Primary General		Contribution			
	Other (specify) ▼	250.00				
<u> </u>	Full Name (Last, First, Middle Initial) Mark S Hester		Date of Receipt			
	Mailing Address 763 Finn Long Road		04 10 2015			
	City	State Zip Code	Transaction ID : 22404473			
	Friendsville	TN 37737-2813	Amount of Each Receipt this Period			
	FEC ID number of contributing federal political committee.	C	250.00			
	Name of Employer	Occupation				
	Raymond James Financial Services, Inc.	Managing Director				
	Receipt For:	Aggregate Year-to-Date ▼				
	Primary General		Contribution			
	Other (specify) ▼	250.00				

TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full)	the name and address of any political committee cial Markets Association Political A	
Full Name (Last, First, Middle Initial) Mr. James B. Kruzan		Date of Receipt
Mailing Address P.O. Box 1071		04 10 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 22404478
Fenton	MI 48430-5071	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer	Occupation	_
Raymond James	Certified Financial Planner	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	Contribution
Full Name (Last, First, Middle Initial) Monica Baldwin	'	Date of Receipt
Mailing Address 13738 CR3		M = M / D = D / Y = Y = Y
City	Ohaka Zin Ohaka	04 10 2015
City	State Zip Code CO 80504-9694	Transaction ID : 22404481
Longmont	CO 80504-9694	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer	Occupation	
Raymond James Financial Services, Inc.	Financial Advisor	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Mark G Thompson		Date of Receipt
Mailing Address 3155 Hilliard Court		04 15 2015
City	State Zip Code	Transaction ID : 22406750
Melbourne	FL 32934-8316	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer	Occupation	\dashv
Raymond James Financial, Inc.	Senior Vice President CFP	
Receipt For:	Aggregate Year-to-Date ▼	-
Primary General	, agregate real to bate v	Contribution
Other (specify) ▼	500.00	
SUBTOTAL of Receipts This Page (optional)	· 	1250.00
TOTAL This Period (last page this line numb	per only)	

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NAME OF COMMITTEE (In Full) Securities Industry and Financi	ial Markets Association Political A	ction Committee
Full Name (Last, First, Middle Initial) Mr. Thomas A. James Mailing Address 880 Carillon Parkway		Date of Receipt 04 15 2015
City	State Zip Code	Transaction ID: 22406760
Saint Petersburg	FL 33716-1102	_ Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	5000.00
Name of Employer	Occupation	7
Raymond James Financial, Inc.	Chairman of the Board and CEO	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 5000.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Gerard J. McGraw		Date of Receipt
Mailing Address 61 Cherry Creek Drive		M = M / D = D / Y = Y = Y
City	State Zip Code	04 16 2015
Matawan	NJ 07747	Transaction ID : 22409380 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	5000.00
Name of Employer	Occupation	-
Fidelity Brokerage	President, Fidelity Brokerage Operatio	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼	Contribution
Full Name (Last, First, Middle Initial) James Rosenthal		Date of Receipt
Mailing Address 241 Central Park West Apt 9F		04 21 2015
City New York	State Zip Code NY 10024-4796	Transaction ID : 22414653
	10027 77 00	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	5000.00
Name of Employer	Occupation	1
Morgan Stanley	coo	_
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	5000.00	Contribution
SUBTOTAL of Receipts This Page (optional)		15000.00
,	<u> </u>	,

FOR LINE NUMBER:					PAGE	1	12	OF		36
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	ne name and address of any political committee t	
, ,	ial Markets Association Political A	ction Committee
Full Name (Last, First, Middle Initial) Lisa Kidd-Hunt		Date of Receipt
Mailing Address 3531 Raymoor Road		04 22 2015
City	State Zip Code	Transaction ID : 22415586
Kensington	MD 20895-3127	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	5000.00
Name of Employer	Occupation	-
Charles Schwab Corporation, The	Executive Vice President	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	5000.00	Contribution
Full Name (Last, First, Middle Initial) 3. Mr. Payson R. Peabody		Date of Receipt
Mailing Address 1101 New York Avenue, NW		M = M / D = D / Y = Y = Y
8th Floor	77. 0	04 22 2015
City	State Zip Code	Transaction ID: 22415587
Washington	DC 20005-4279	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1500.00
Name of Employer	Occupation	1
Securities Industry and Financial Mark	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼]
Primary General Other (specify) ▼	1500.00	Contribution
Full Name (Last, First, Middle Initial)	1	Date of Receipt
Mailing Address 8523 Ardmore Ave		04 22 2015
City	State Zip Code	Transaction ID : 22415588
Wyndmoor	PA 19038-8454	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer	Occupation	-
Janney Montgomery Scott LLC	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General		Contribution
Other (specify) ▼	1000.00	
SUBTOTAL of Receipts This Page (optional)		7500.00
TOTAL This Period (last page this line numbe		

Use separate schedule(s) for each category of the Detailed Summary Page

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or for commercial purposes, other than using	the name and address of any political committee to	o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Finance	cial Markets Association Political Ad	ction Committee
Full Name (Last, First, Middle Initial) A. Mr Jerome F Lombard Jr		Date of Receipt
Mailing Address 34 Villa Drive		04 22 2015
City	State Zip Code	Transaction ID : 22415589
Ambler	PA 19002-5064	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	3500.00
Name of Employer	Occupation	-
Janney Montgomery Scott LLC	President	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	3500.00	Contribution
Full Name (Last, First, Middle Initial) 3. Michael Cohen		Date of Receipt
Mailing Address 1160 Heather		M M / D D / Y Y Y Y
3 1.30 1.000101		04 22 2015
City	State Zip Code	Transaction ID : 22415595
Deerfield	IL 60015-3414	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer	Occupation	-
Raymond James Financial Services, Inc.	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General		Contribution
Other (specify) ▼	250.00	
Full Name (Last, First, Middle Initial) C. Mr. John E. Thorsen		Date of Receipt
Mailing Address 3018 West Chester		04 22 2015
City	State Zip Code	Transaction ID: 22415597
Orlando	FL 32803-1038	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	2500.00
Name of Employer	Occupation	-
Raymond James Financial, Inc.	Sr. Vice Preseident	
Receipt For:	Aggregate Year-to-Date ▼	-
Primary General	, agregate real to bate v	Contribution
Other (specify) ▼	2500.00	
SUBTOTAL of Receipts This Page (optional).	>	6250.00
TOTAL This Period (last page this line number	er only)	

FOR LINE NUMBER:					PAGE	1	14	OF		36
(che	(check only one)									
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	statements may not be sold or used by any persol e name and address of any political committee to	
NAME OF COMMITTEE (In Full)	al Markata Association Delicinal A	tion Committee
/ Securities industry and Financi	al Markets Association Political Ac	
Full Name (Last, First, Middle Initial) Mr. Paul A. Hack		Date of Receipt
Mailing Address 657 Greenwood Street		04
City	State Zip Code	Transaction ID: 22415601
Birmingham	MI 48009-3815	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer	Occupation	
Raymond James Financial, Inc.	Senior Vice President	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Kenneth E. Bentsen Jr.		Date of Receipt
Mailing Address 1101 New York Ave, NW		M = M / D = D / Y = Y = Y
8th Floor City	State Zip Code	04 01 2015
Uty Washington	DC 20005-4269	Transaction ID : 22459879
	13330 1230	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	192.35
Name of Employer	Occupation	
Securities Industry and Financial Mark	President	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	1346.45	
Full Name (Last, First, Middle Initial) C. Ms. Kyle Brandon		Date of Receipt
Mailing Address 120 Broadway 35th Floor		04 01 2015
City	State Zip Code	Transaction ID : 22459881
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	96.15
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director, DOR	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	673.05	
SUBTOTAL of Receipts This Page (optional)		538.50
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER:					PAGE	1	15	OF		36
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	Statements may not be sold or used by any per- he name and address of any political committee t	
NAME OF COMMITTEE (In Full) Securities Industry and Finance	cial Markets Association Political A	ction Committee
Full Name (Last, First, Middle Initial) Ms Kim Chamberlain		Date of Receipt
Mailing Address 120 Broadway 35th Floor		04 01 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City New York	State Zip Code NY 10271-3599	Transaction ID : 22459882 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	38.50
Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify) ▼	Occupation Managing Director & Counsel, State Gov Aggregate Year-to-Date ▼ 269.50	
Full Name (Last, First, Middle Initial) B. David L Cohen Mailing Address 120 Broadway		Date of Receipt
35th Floor City New York	State Zip Code NY 10271-3599	04 01 2015 Transaction ID : 22459884 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	38.50
Name of Employer Securities Industry and Financial Mark	Occupation Managing Director	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 269.50	
Full Name (Last, First, Middle Initial) C. Ms. Cheryl Crispen	[Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor	7. 0. 1	04 01 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Washington	State Zip Code DC 20005-4279	Transaction ID : 22459885 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	96.15
Name of Employer	Occupation Executive Vice President, Communicatio	-
Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 673.05	_
SUBTOTAL of Receipts This Page (optional)		173.15
TOTAL This Period (last page this line number	er only)	

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:					PAGE	. 1	16	OF		36	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

·	cial Markets Association Political Ac	etion Committee
Full Name (Last, First, Middle Initial) Ms. Bradley Gaskins Edgell Mailing Address 1101 New York Ave, NW 8th Floor		Date of Receipt M = M / D = D / Y = Y = Y = Y = Y = Y = Y = Y = Y = Y
City	State Zip Code	Transaction ID: 22459886
Washington	DC 20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	269.50	
Full Name (Last, First, Middle Initial) Marin E. Gibson		Date of Receipt
Mailing Address 120 Broadway		M = M / D = D / Y = Y = Y
35th Floor	Stata Zin Codo	04 01 2015
City New York	State Zip Code NY 10271-3599	Transaction ID : 22459889
	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	76.92
Name of Employer Securities Industry and Financial Mark	Occupation	
Receipt For:	Managing Director & Counsel	
Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	538.44	
Full Name (Last, First, Middle Initial) Mr. David Krasner		Date of Receipt
Mailing Address 100 Disbrow Lane		04 01 2015
City New Rochelle	State Zip Code NY 10804-3230	Transaction ID : 22459891 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	57.70
Name of Employer	Occupation	
Securities Industry and Financial Mark	Chief Financial Officer	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	. 199. 199. 10 10 10 10 10 10 1	
Other (specify) ▼	403.90	
SURTOTAL of Receipts This Page (optional)		173.12

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		atements may not be sold or used by any person name and address of any political committee to	
$\overline{\ \ }$	NAME OF COMMITTEE (In Full)		
/	Securities Industry and Financia	Markets Association Political Act	ion Committee
١.	Full Name (Last, First, Middle Initial) Ms. Nancy Donohoe Lancia		Date of Receipt
	Mailing Address 120 Broadway 35th Floor		04 01 2015
	City	State Zip Code	Transaction ID : 22459892
	New York	NY 10271-3599	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	38.50
	Name of Employer	Occupation	
	Securities Industry and Financial Mark	Managing Director, State Govt. Affairs	
	Receipt For:	Aggregate Year-to-Date ▼	
	Primary General Other (specify) ▼	269.50	
	Full Name (Lost First Middle Letter)		
3.	Full Name (Last, First, Middle Initial) Theodore Lazo		Date of Receipt
	Mailing Address 1101 New York Avenue, NW		M = M / D = D / Y = Y = Y
	8th Floor City	State Zip Code	04 01 2015
	Washington	DC 20005-4279	Transaction ID : 22459893 Amount of Each Receipt this Period
	FEC ID number of contributing		
	federal political committee.	C	38.50
	Name of Employer	Occupation	
	Securities Industry and Financial Mark	Managing Director	
	Receipt For:	Aggregate Year-to-Date ▼	
	Primary General	269.50	
	Other (specify) ▼	209.50	
<u> </u>	Full Name (Last, First, Middle Initial) Mr. Carter McDowell		Date of Receipt
•	Mailing Address 1101 New York Ave, NW		M = M / D = D / Y = Y = Y
	8th Floor		04 01 2015
	City	State Zip Code	Transaction ID: 22459894
	Washington	DC 20005-4269	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	288.46
	Name of Employer	Occupation	
	Securities Industry and Financial Mark	Senior Managing Director	
	Receipt For:	Aggregate Year-to-Date ▼	
	Primary General	2019.22	
	Other (specify) ▼	2013.22	
s	UBTOTAL of Receipts This Page (optional)		365.46
Т	OTAL This Period (last page this line number o	nly)	
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	Statements may not be sold or used by any person e name and address of any political committee to	
NAME OF COMMITTEE (In Full)		
Securities Industry and Financi	al Markets Association Political Ac	tion Committee
Full Name (Last, First, Middle Initial) A. Mr. Thomas F Price		Date of Receipt
Mailing Address 120 Broadway 35th Floor		04 01 2015
City	State Zip Code	Transaction ID: 22459896
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	80.00
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	00 0	
Other (specify) ▼	480.00	
Full Name (Last, First, Middle Initial) Mr. Kenneth E. Bentsen Jr.		Date of Receipt
Mailing Address 1101 New York Ave, NW		M = M / D = D / Y = Y = Y
8th Floor	7: 0 1	04 15 2015
City	State Zip Code	Transaction ID: 22459924
Washington	DC 20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	192.35
Name of Employer	Occupation	
Securities Industry and Financial Mark	President	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	0.0	
Other (specify) ▼	1538.80	
Full Name (Last, First, Middle Initial) Ms. Kyle Brandon		Date of Receipt
Mailing Address 120 Broadway 35th Floor		04 15 _ 2015 _
City	State Zip Code	Transaction ID : 22459926
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	96.15
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director, DOR	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	769.20	
SUBTOTAL of Receipts This Page (optional)	·····	368.50
TOTAL This Period (last page this line number	only)	

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		atements may not be sold or used by any person name and address of any political committee to	
\	OF COMMITTEE (In Full)		
∕ Secı	urities Industry and Financia	Markets Association Political Act	ion Committee
Ms K	ame (Last, First, Middle Initial) Kim Chamberlain		Date of Receipt
Mailing	Address 120 Broadway 35th Floor		04 15 _ 2015 _
City		State Zip Code	Transaction ID : 22459927
New Y	′ork	NY 10271-3599	Amount of Each Receipt this Period
	O number of contributing political committee.	C	38.50
Name	of Employer	Occupation	
Securit	ies Industry and Financial Mark	Managing Director & Counsel, State Gov	
Receip	t For:	Aggregate Year-to-Date ▼	
F	Primary General	riggiogalo Tourito Dallo y	
	Other (specify) ▼	308.00	
3. Davi	ame (Last, First, Middle Initial) d L Cohen		Date of Receipt
Mailing	Address 120 Broadway		M = M / D = D / Y = Y = Y
City	35th Floor	State Zin Code	04 15 2015
City New Y	'ouls	State Zip Code NY 10271-3599	Transaction ID: 22459929
		NY 10271-3599	Amount of Each Receipt this Period
	number of contributing political committee.	C	38.50
	of Employer	Occupation	
Securit	ies Industry and Financial Mark	Managing Director	
Receip	t For:	Aggregate Year-to-Date ▼	
	Primary General		
	Other (specify) ▼	308.00	
	ame (Last, First, Middle Initial) Cheryl Crispen		Date of Receipt
Mailing	Address 1101 New York Ave, NW 8th Floor		04 15 _2015 _
City	5.1.1.1001	State Zip Code	Transaction ID : 22459930
Washi	ngton	DC 20005-4279	Amount of Each Receipt this Period
	number of contributing political committee.	C	96.15
Name	of Employer	Occupation	
Securi	ties Industry and Financial Mark	Executive Vice President, Communicatio	
Receip	t For:	Aggregate Year-to-Date ▼	
	Primary General		
	Other (specify) ▼	769.20	
SUBTOT	TAL of Receipts This Page (optional)		173.15
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or for commercial purposes, other than using	the name and address of any political committee t	
Securities Industry and Finance	cial Markets Association Political A	ction Committee
Full Name (Last, First, Middle Initial) Ms. Bradley Gaskins Edgell Mailing Address 1101 New York Ave, NW 8th Floor City Washington FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code DC 20005-4269 C Occupation Managing Director Aggregate Year-to-Date ▼	Date of Receipt M M J J J J J J J J J J J J J J J J J
Full Name (Last, First, Middle Initial) 3. Marin E. Gibson		Date of Receipt
Mailing Address 120 Broadway 35th Floor City New York FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify) ▼	State Zip Code NY 10271-3599 C Occupation Managing Director & Counsel Aggregate Year-to-Date ▼ 615.36	04 15 2015 Transaction ID: 22459934 Amount of Each Receipt this Period 76.92
Full Name (Last, First, Middle Initial) Mr. David Krasner Mailing Address 100 Disbrow Lane		Date of Receipt 04 15 2015
City New Rochelle FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code NY 10804-3230 C Occupation Chief Financial Officer Aggregate Year-to-Date ▼ 461.60	Transaction ID : 22459936 Amount of Each Receipt this Period 57.70
SUBTOTAL of Receipts This Page (optional).		173.12
TOTAL This Period (last page this line number	er only)	

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	he name and address of any political committee to	
NAME OF COMMITTEE (In Full)		
` '	cial Markets Association Political Ad	ction Committee
Full Name (Last, First, Middle Initial) Ms. Nancy Donohoe Lancia		Date of Receipt
Mailing Address 120 Broadway		M = M / D = D / Y = Y = Y
35th Floor	State 7in Code	04 15 2015
City New York	State Zip Code NY 10271-3599	Transaction ID: 22459937
		Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	1
Securities Industry and Financial Mark	Managing Director, State Govt. Affairs	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	00 0	
Other (specify) ▼	308.00	
Full Name (Last, First, Middle Initial) Theodore Lazo		Date of Receipt
Mailing Address 1101 New York Avenue, NV	٧	M = M / D = D / Y = Y = Y
8th Floor		04 15 2015
City	State Zip Code	Transaction ID: 22459938
Washington	DC 20005-4279	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	1
Securities Industry and Financial Mark	Managing Director]
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	308.00	
Other (specify) ▼	300.00	
Full Name (Last, First, Middle Initial) C. Mr. Carter McDowell		Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor		04 15 2015
City	State Zip Code	Transaction ID: 22459939
Washington	DC 20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	288.46
Name of Employer	Occupation	1
Securities Industry and Financial Mark	Senior Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	2207.69	
Other (specify) ▼	2307.68	
SUBTOTAL of Receipts This Page (optional)		365.46
(58.6.14).		
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NAME OF COMMITTEE (In Full)	the name and address of any political committee cial Markets Association Political A	
Full Name (Last, First, Middle Initial) Mr. Thomas F Price Mailing Address 120 Broadway 35th Floor City New York FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code NY 10271-3599 C Occupation Managing Director Aggregate Year-to-Date ▼ 560.00	Date of Receipt 04 15 2015 Transaction ID: 22459941 Amount of Each Receipt this Period 80.00
Full Name (Last, First, Middle Initial) Mr. Kenneth E. Bentsen Jr. Mailing Address 1101 New York Ave, NW 8th Floor City Washington FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code DC 20005-4269 C Occupation President Aggregate Year-to-Date ▼ 1731.15	Date of Receipt 04 29 2015 Transaction ID: 22462602 Amount of Each Receipt this Period 192.35
Full Name (Last, First, Middle Initial) Ms. Kyle Brandon Mailing Address 120 Broadway 35th Floor City New York FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code NY 10271-3599 C Occupation Managing Director, DOR Aggregate Year-to-Date ▼ 865.35	Date of Receipt 04 29 2015 Transaction ID: 22462604 Amount of Each Receipt this Period 96.15
SUBTOTAL of Receipts This Page (optional)	<u> </u>	368.50
TOTAL This Period (last page this line numb	er only)	

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Any information copied from such Reports and S or for commercial purposes, other than using the	Statements may not be sold or used by any personal part and address of any political committee to	on for the purpose of soliciting contributions of solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Financia	al Markets Association Political Ac	ction Committee
Full Name (Last, First, Middle Initial) Ms Kim Chamberlain		Date of Receipt
Mailing Address 120 Broadway		M M / D D / Y Y Y Y Y
35th Floor City	State Zip Code	04 29 2015
New York	NY 10271-3599	Transaction ID : 22462605 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director & Counsel, State Gov	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	346.50	
Full Name (Last, First, Middle Initial) David L Cohen		Date of Receipt
Mailing Address 120 Broadway 35th Floor		04 29 _2015 _
City	State Zip Code	Transaction ID : 22462607
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	38.50
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify)	346.50	
Full Name (Last, First, Middle Initial) C. Ms. Cheryl Crispen		Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor		04 29 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Washington	State Zip Code DC 20005-4279	Transaction ID : 22462608
FEC ID number of contributing federal political committee.	C 20003-4219	Amount of Each Receipt this Period 96.15
Name of Employer	Occupation	
Securities Industry and Financial Mark	Executive Vice President, Communicatio	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	865.35	
Other (specify)	000.35	
SUBTOTAL of Receipts This Page (optional)		173.15
TOTAL This Period (last page this line number	only)	

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Any information copied from such Reports and S or for commercial purposes, other than using the	Statements may not be sold or used by any personal part of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Financi	al Markets Association Political Ac	tion Committee
Full Name (Last, First, Middle Initial) Ms. Bradley Gaskins Edgell Mailing Address 1101 New York Ave, NW		Date of Receipt
8th Floor		04 29 2015
City	State Zip Code	Transaction ID : 22462609
Washington	DC 20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	346.50	
Full Name (Last, First, Middle Initial) Marin E. Gibson		Date of Receipt
Mailing Address 120 Broadway 35th Floor		04 292015
City	State Zip Code	04 29 2015 Transaction ID : 22462612
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	76.92
Name of Employer	Occupation	
Securities Industry and Financial Mark	Managing Director & Counsel	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	692.28	
Full Name (Last, First, Middle Initial) C. Mr. David Krasner		Date of Receipt
Mailing Address 100 Disbrow Lane		04 29 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City New Rochelle	State Zip Code NY 10804-3230	Transaction ID : 22462614
	10004-3230	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	57.70
Name of Employer	Occupation	
Securities Industry and Financial Mark	Chief Financial Officer	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify)	519.30	
SUBTOTAL of Receipts This Page (optional)		173.12
TOTAL This Period (last page this line number	only)	

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NAME OF COMMITTEE (In Full)	e name and address of any political committee t	
Full Name (Last, First, Middle Initial)	al Markets Association Political A	
Ms. Nancy Donohoe Lancia Mailing Address 120 Broadway 35th Floor City	State Zip Code	Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
New York	NY 10271-3599	Transaction ID : 22462615 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	Occupation Managing Director, State Govt. Affairs Aggregate Year-to-Date ▼ 346.50	
Full Name (Last, First, Middle Initial) Theodore Lazo		Date of Receipt
Mailing Address 1101 New York Avenue, NW 8th Floor City Washington FEC ID number of contributing	State Zip Code DC 20005-4279	04 29 2015 Transaction ID : 22462616 Amount of Each Receipt this Period
federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General	Occupation Managing Director Aggregate Year-to-Date ▼	38.50
Other (specify) ▼ Full Name (Last, First, Middle Initial)	346.50	
Mr. Carter McDowell Mailing Address 1101 New York Ave, NW 8th Floor City	State Zip Code	Date of Receipt 04 29 2015 Transaction ID: 22462617
Washington	DC 20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	288.46
Name of Employer Securities Industry and Financial Mark	Occupation Senior Managing Director	
Receipt For: Primary Other (specify)	Aggregate Year-to-Date ▼ 2596.14	
SUBTOTAL of Receipts This Page (optional)		365.46
TOTAL This Period (last page this line number	<u> </u>	

Use separate schedule(s) for each category of the Detailed Summary Page

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

or for commercial purposes, other than using NAME OF COMMITTEE (In Full)	g the name and address of any political committee to	o solicit contributions from such committee.
Securities Industry and Fina Full Name (Last, First, Middle Initial)	ncial Markets Association Political Ad	ction Committee
Mr. Thomas F Price Mailing Address 120 Broadway		Date of Receipt
35th Floor	State Zip Code	04 29 2015 Transaction ID : 22462619
New York	NY 10271-3599	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	80.00
Name of Employer	Occupation	
Securities Industry and Financial Mark Receipt For:	Managing Director	
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 640.00	
Full Name (Last, First, Middle Initial)	·	Date of Receipt
Mailing Address		M = M / D = D / Y = Y = Y
City	State Zip Code	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	7 Si Eden Hoodpi uno Foriou
Name of Employer	Occupation	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼	
Full Name (Last, First, Middle Initial)	'	Date of Receipt
Mailing Address		M = M / D = D / Y = Y = Y
City	State Zip Code	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	7 7 7 7 7
Name of Employer	Occupation	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼	
SUBTOTAL of Receipts This Page (optional	al)	80.00
	<u>·</u>	20240.60
TOTAL This Period (last page this line nun	nber only)	38240.69

Other (specify)

SCHEDULE A (FE **ITEMIZED RECEIP**

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	CHEDULE A (FEC Form 3X) EMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 27 OF 36 (check only one) 11a 11b X 11c 12 13 14 15 16 17						
or	ny information copied from such Reports and Sta for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Securities Industry and Financia Full Name (Last, First, Middle Initial) AEGON USA, LLC/TRANSAMERICA (Mailing Address 1001 Pennsylvania Avenue NW Suite 500A South	I Markets CORPORA	ATION PAC	ction Committee Date of Receipt 04 02 2015						
	City Washington FEC ID number of contributing federal political committee. Name of Employer Receipt For: Primary General Other (specify) ▼	Occupation	Zip Code 20004 9236414 Year-to-Date ▼	Transaction ID : 22399650 Amount of Each Receipt this Period 2000.00 Contribution						
В.	Full Name (Last, First, Middle Initial) LPL Financial Corporation PAC Mailing Address One Beacon Street 22nd Floor City Boston FEC ID number of contributing federal political committee. Name of Employer Receipt For: Primary General	Occupation	Zip Code 02108 486217 Year-to-Date ▼	Date of Receipt 04 15 2015 Transaction ID: 22406745 Amount of Each Receipt this Period 5000.00						
C .	Other (specify) Full Name (Last, First, Middle Initial) Mailing Address City	State	Zip Code	Date of Receipt Amount of Each Receipt this Period						

Full Name (Last, First, Mi Mailing Address City FEC ID number of contributing C federal political committee.

Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General

SUBTOTAL of Receipts This Page (optional)	·····		7		I	7	7000.00	Ī
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SCHEDULE B (FEC Form 3	X)	FOR LINE NUMBER: PAGE 28 OF 3					
ITEMIZED DISBURSEMENTS	Use separate schedule(s	(check only one)					
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	Detailed Summary Page	27 28a 28b 28c 29 3					
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		used by any person for the purpose of soliciting contributions tical committee to solicit contributions from such committee.					
NAME OF COMMITTEE (In Full)	g and address of any point	The second of th					
	noial Markata Association	Political Action Committee					
Securities Industry and Fina	nciai iviaikets Association	i Political Action Committee					
Full Name (Last, First, Middle Initial)							
A. PNC Bank	PNC Bank						
		M M / D D / Y Y Y					
Mailing Address 1400 K Street, NW		04 06 2015					
City	State Zip Code	Transaction ID : 22459688					
Washington	DC 20005	Transaction is . 2240000					
Purpose of Disbursement Credit Card Fees		004 Amount of Fact Bishows and the Basis					
		001 Amount of Each Disbursement this Period					
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Office Cought	Dishura and Farr	Type					
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	Primary General	Credit Card Fees					
State: President District:	Other (specify)						
Full Name (Last, First, Middle Initial)		Data of Diskumanust					
B. PNC Bank		Date of Disbursement					
Mailing Address 4 100 14 Oct 1 Annual		04 02 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y					
ivialility Address 1400 K Street, NW	Mailing Address 1400 K Street, NW						
City	State Zip Code						
Washington	DC 20005	Transaction ID : 22459689					
Purpose of Disbursement							
Credit Card Fees		001 Amount of Each Disbursement this Period					
Candidate Name		Category/					
		Type 81.18					
Office Sought: House	Disbursement For:						
Senate	Primary General	Credit Card Fees					
President	Other (specify) ▼						
State: District:							
Full Name (Last, First, Middle Initial)							
C. PNC Bank		Date of Disbursement					
Mailing Address 1400 K Street, NW		04 02 2015					
City	State Zip Code	Transaction ID : 22459690					
Washington	DC 20005						
Purpose of Disbursement Bank Fees	Purpose of Disbursement Bank Fees						
Candidate Name		001 Amount of Each Disbursement this Period					
Candidate Ivallie		Category/ 35.31					
Office Sought	Dishursoment Ferr	Type 33.31					
Office Sought: House Senate	Disbursement For:						
Senate President	Primary General	Bank Fees					
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SCHEDULE B (FEC Form		FOR LINE	E NUMBER: PAGE 29 OF 36			
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	Detailed Summary Page	21b	22 X 23 24 25 26			
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			on for the purpose of soliciting contributions o solicit contributions from such committee.			
NAME OF COMMITTEE (In Full)						
Securities Industry and Fin	ancial Markets Association	Political Ac	tion Committee			
Full Name (Last, First, Middle Initial)						
A. AMERIPAC			Date of Disbursement			
Mailing Address 499 South Capitol St, S	W.		04 01 2015			
Suite 414			0. 0. 20,0			
City	State Zip Code		Transaction ID : 22370369			
Washington	DC 20003		Transaction ib . 2237 0303			
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period			
Candidate Name		Category/				
		Type	5000.00			
Office Sought: House	Disbursement For:					
Senate	Primary General		Contribution			
State: President District:	Other (specify)					
Full Name (Last, First, Middle Initial)						
B. Poliquin For Congress			Date of Disbursement			
1 dilquir i di dorigicas			M M / D D / Y Y Y Y			
Mailing Address PO Box 50			04 14 2015			
City Oakland	State Zip Code ME 04963		Transaction ID : 22406649			
Purpose of Disbursement						
Contribution		011	Amount of Each Disbursement this Period			
Candidate Name		Category/	1000.00			
Rep. Bruce Poliquin Office Sought: House	Dishurasment Ferr. 2010	Туре	, , , , ,			
Senate	Disbursement For: 2016 Primary General		Contribution			
President	Other (specify)		Contribution			
State: ME District: 02						
Full Name (Last, First, Middle Initial)						
C. Butterfield For Congress			Date of Disbursement			
			M = M / D = D / Y = Y = Y			
Mailing Address PO Box 2571			04 14 2015			
City	State Zip Code					
Wilson	NC 27894		Transaction ID: 22406694			
Purpose of Disbursement Contribution						
Candidate Name		011	Amount of Each Disbursement this Period			
Rep. George K. Butterfield		Category/ Type	1000.00			
Office Sought: House	Disbursement For: 2016	Туре	7			
Senate	Primary General		Contribution			
President	Other (specify) ▼					
State: NC District: 01						
SUBTOTAL of Disbursements This Page	(optional)	·····	7000.00			
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SCHEDULE B (FEC Form 3X)		FOR LINE I	E NUMBER: PAGE 30 OF 36			
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NAME OF COMMITTEE (In Full)		•				
Securities Industry and Financial N	Markets Association	Political Ac	tion Committee			
Full Name (Last, First, Middle Initial)			Data of Dishamanan			
A. Marcia Fudge For Congress			Date of Disbursement			
Mailing Address 3729 Silsby Rd			04 14 2015			
City	State Zip Code		Transaction ID : 22406606			
University Heights	OH 44118		Transaction ID: 22406696			
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period			
Candidate Name		Category/	1000.00			
Rep. Marcia Fudge		Type	1000.00			
Senate President	ment For: 2016 Primary ☐ General Other (specify) ▼		Contribution			
State: OH District: 11						
Full Name (Last, First, Middle Initial)			B (B)			
B. Forward Together			Date of Disbursement			
Mailing Address 1751 POTOMAC GREENS DRIVE			04 14 2015			
Alexandria	State Zip Code VA 22314		Transaction ID : 22406697			
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period			
Candidate Name		Category/	1000.00			
Forward Together		Type	1000.00			
Office Sought: House Disbursel Senate President State: District:	ment For: Primary General Other (specify) ▼		Contribution			
Full Name (Last, First, Middle Initial)						
C. Graves For Congress						
Mailing Address PO Box 335	Mailing Address PO Box 335					
City Calhoun						
Purpose of Disbursement Contribution	Purpose of Disbursement					
Candidate Name	011	Amount of Each Disbursement this Period				
_	Category/	1000.00				
	Rep. Tom Graves Office Sought: House Disbursement For: 2016					
Senate President		Contribution				
State: GA District: 14						
SUBTOTAL of Disbursements This Page (optional)		······	3000.00			
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NAME OF COMMITTEE (In Full)							
angle Securities Industry and Financial M	arkets Association F	Political Act	tion Committee				
Full Name (Last, First, Middle Initial)			Data of Dichursoment				
A. Connolly For Congress			Date of Disbursement				
Mailing Address PO Box 563			04 14 2015				
,	State Zip Code		Transaction ID : 22406703				
Merrifield	VA 22116		1141154541511 12 1 22 1007 00				
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period				
Candidate Name		Category/	2500.00				
Rep. Gerald E. Connolly		Type	2500.00				
Senate President	nent For: 2016 Primary General Other (specify)		Contribution				
State: VA District: 11							
Full Name (Last, First, Middle Initial) Bennet For Colorado		Date of Disbursement					
Mailing Address PO Box 3078			M - M / D - D / Y - Y - Y - Y - Y - Y - Y - Y - Y - Y				
Cit.	Nata Zin Cada						
•	State Zip Code CO 80201		Transaction ID: 22406706				
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Candidate Name							
Sen. Michael F. Bennet		Category/ Type	2500.00				
X Senate	nent For: 2016 Primary General Other (specify)		Contribution				
Full Name (Last, First, Middle Initial) Kenny Marchant For Congress			Date of Disbursement				
Mailing Address PO Box 110187			04 / 14 / 2015				
,	State Zip Code TX 75011		Transaction ID : 22406708				
Purpose of Disbursement	7,0011						
Contribution Candidate Name	011	Amount of Each Disbursement this Period					
Rep. Kenny Marchant		Category/ Type	1000.00				
Office Sought: House Senate President Disbursen	nent For: 2016 Primary General Other (specify)	Турс	Contribution				
State: TX District: 24							
SUBTOTAL of Disbursements This Page (optional)		·····•	6000.00				
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	HEDULE B (FEC Form 3X)	1		FOR LINE N	JUMBER: PAGE 32 OF 36			
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\ N	AME OF COMMITTEE (In Full)							
\rangle S	Securities Industry and Financial M	arkets Associa	tion Pol	litical Act	ion Committee			
_	ull Name (Last, First, Middle Initial)							
Α. Λ	like Kelly For Congress				Date of Disbursement			
M	ailing Address PO Box 476				04 14 2015			
Ci	ity 5	State Zip Code	;		Transaction ID : 22406710			
	/ndora	PA 16045			Transaction ib . 224007 10			
	urpose of Disbursement Contribution			011	Amount of Each Disbursement this Period			
-	andidate Name		C	ategory/	1000.00			
	tep. Mike Kelly			Туре	1000.00			
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St	tate: PA District: 03	€e. (epeey) •						
Fı	ull Name (Last, First, Middle Initial)							
	Roskam For Congress Committee				Date of Disbursement			
M	ailing Address P. O. Box 713				04 14 2015			
Ci W	ity S /heaton	State Zip Code IL 60187	9		Transaction ID : 22406711			
	urpose of Disbursement Contribution			011	Amount of Each Disbursement this Period			
Ca	andidate Name			ategory/				
	Rep. Peter Roskam			Type	1000.00			
Ot		nent For: 2016						
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		,						
St	President	Other (specify)						
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SCHEDULE B (FEC Form 3X)	Hea				R LINE NUMBER: PAGE 33 OF 36					
ITEMIZED DISBURSEMENTS	for each	category of the	l (olicon	only one		X 23	24	. —	25	<u> </u>
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Securities Industry and Financial M	arkets i	ASSOCIATION	Political	Action	Cor	nmitte	ee			
Full Name (Last, First, Middle Initial)										
A. Republican Majority Fund				D:	ate of	Disburse				
Mailing Address P.O. Box 144					04	1	4		015	Y
,	State	Zip Code		<u> </u>	Franco	ction ID	. 22404			
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Republican Majority Fund			Type	L	_	- 7			2500	.00
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Full Name (Last, First, Middle Initial)										
Hoyer For Congress					ate of	Disburse		Y Y	Y	Υ
Mailing Address 700 13th Street, Nw Suite 600				<u> </u>	04		15	_ 2	015	
Washington	State DC	Zip Code 20005			Γransa	ction ID	: 22409	9283		
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	nent For: Primary Other (spe	X General		Co	ontribut	tion				
Full Name (Last, First, Middle Initial) C. MCCONNELL FOR MAJORITY LE.	ADER	COMMITTE	F	Da	ate of	Disburse	ement			
			· -		и – м	/ D	D /	Y Y	Y	Υ
Mailing Address 228 S WASHINGTON STREET SUITE 115					04	2	21	_ 20	015	
ALEXANDRIA	State VA	Zip Code 22314			Γransa	ction ID	: 2241	5076		
Purpose of Disbursement Contribution			011	Ar	nount	of Each	Disburs	sement	t this F	Period
Candidate Name MCCONNELL FOR MAJORITY LEAD	DER CC	MMITTEE	Category, Type				-		2500	.00
Office Sought: House Disbursem			.,,,,			- 7				
President	Primary Other (spe	General cify) ▼		Co	ntribut	ion				
State: District:										
SUBTOTAL of Disbursements This Page (optional)						,			7500	.00
TOTAL This Period (last page this line number only).										

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Profession for commercial purposes, other than using the national purposes, other than using the national purposes (In Full) Securities Industry and Financial Full Name (Last, First, Middle Initial) Dold For Congress Mailing Address PO Box 6312 City Libertyville Purpose of Disbursement Contribution	Markets State	dress of any politi	cal committee to	extion Committee
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Full Name (Last, First, Middle Initial) Dold For Congress Mailing Address PO Box 6312 City Libertyville Purpose of Disbursement Contribution	State	Association	Political Ac	
Mailing Address PO Box 6312 City Libertyville Purpose of Disbursement Contribution				Date of Disbursement
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Libertyville Purpose of Disbursement Contribution				04 21 2015
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Contribution	IL.	60048		
Candidate Name			011	Amount of Each Disbursement this Period
Rep. Robert J. Dold			Category/	1000.00
	ement For:	2016	Туре	
Senate President	Primary Other (sp	General		Contribution
State: IL District: 10				
Full Name (Last, First, Middle Initial)				Date of Disbursement
- Conaway For Congress				M M / D D / Y Y Y Y
Mailing Address PO Box 51272				04 21 2015
City Midland	State TX	Zip Code 79710		Transaction ID : 22415120
Purpose of Disbursement Contribution			011	Amount of Each Disbursement this Period
Candidate Name			Category/	Amount of Each bissardoment this Foriou
Rep. Michael K. Conaway			Type	5000.00
	ement For: Primary Other (sp	General		Contribution
Full Name (Last, First, Middle Initial)				
- Cleaver For Congress				Date of Disbursement
Mailing Address 4801 Main Street, Stuite 1000		04 21 2015		
City	State	Zip Code		Transaction ID : 22415121
Kansas City Purpose of Disbursement	МО	64112		Transaction ID: 22415121
Contribution	011	Amount of Each Disbursement this Period		
Candidate Name				
Rep. Emanuel Cleaver II Office Sought:	omont Fr	0040	Туре	1000.00
Senate Dispurs	ement For: Primary Other (sp	General		Contribution
President	_	• •		
President State: MO District: 05	_			
)		·····	7000.00

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or	for commercial purposes, other than using the name	e and add	Iress of any politica	al committee to	solicit contributions from such committee.		
	NAME OF COMMITTEE (In Full)						
\rangle	Securities Industry and Financial M	arkets	Association F	Political Ac	tion Committee		
	Full Name (Last, First, Middle Initial)					-	
Α.	Steve Israel For Congress Committee		Date of Disbursement				
	Mailing Address P.O. Box 777		04 21 2015				
	City	State	Zip Code			-	
	Deer Park	NY	11729		Transaction ID: 22415122		
	Purpose of Disbursement Contribution			011	Amount of Each Disbursement this Period		
	Candidate Name			Cotogony			
	Rep. Steve J. Israel			Category/ Type	1000.00		
	Office Sought: X House Disbursem	nent For:	2016	71	, , , , , , , , , , , , , , , , , , , ,		
	Senate President	Primary Other (spe	General		Contribution		
	State: NY District: 02					_	
	Full Name (Last, First, Middle Initial)						
В.	Liberty Project				Date of Disbursement		
					M M / D D / Y Y Y Y		
	Mailing Address PO Box 53866				04 21 2015		
	City	State	Zip Code		Transaction ID : 22415127		
		TX	79453				
	Lubbock Purpose of Disbursement Contribution	TX	79453	011	Amount of Each Disbursement this Period		
	Purpose of Disbursement	TX	79453	011	Amount of Each Disbursement this Period		
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	Purpose of Disbursement Contribution Candidate Name Liberty Project		79453				
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C .	Purpose of Disbursement Contribution Candidate Name Liberty Project Office Sought: House Senate President State: District: Full Name (Last, First, Middle Initial)	nent For: Primary	General	Category/	1000.00 Contribution		
C .	Purpose of Disbursement Contribution Candidate Name Liberty Project Office Sought: House Senate President State: District: Full Name (Last, First, Middle Initial) Scott Garrett for Congress Mailing Address P.O. Box 905	nent For: Primary Other (spe	General ecify) ▼	Category/	Date of Disbursement		
c .	Purpose of Disbursement Contribution Candidate Name Liberty Project Office Sought: House Senate President State: District: Full Name (Last, First, Middle Initial) Scott Garrett for Congress Mailing Address P.O. Box 905 City S	nent For: Primary Other (spe	General ecify) ▼	Category/	Date of Disbursement		
C .	Purpose of Disbursement Contribution Candidate Name Liberty Project Office Sought: House Senate President State: District: Full Name (Last, First, Middle Initial) Scott Garrett for Congress Mailing Address P.O. Box 905 City Senate President Senate Presid	nent For: Primary Other (spe	General ecify) ▼	Category/	Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y		
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C .	Purpose of Disbursement Contribution Candidate Name Liberty Project Office Sought: House Senate President State: District: Full Name (Last, First, Middle Initial) Scott Garrett for Congress Mailing Address P.O. Box 905 City Senate President Contribution Candidate Name Rep. Scott Garrett Office Sought: House Senate Disbursement Contribution Disbursement Contribution Candidate Name Rep. Scott Garrett Office Sought: House Senate	nent For: Primary Other (spe	General ecify) ▼ Zip Code 07860 2016 General	Category/ Type 011 Category/	Contribution Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	_	
C.	Purpose of Disbursement Contribution Candidate Name Liberty Project Office Sought: House Senate President State: District: Full Name (Last, First, Middle Initial) Scott Garrett for Congress Mailing Address P.O. Box 905 City Senate Purpose of Disbursement Contribution Candidate Name Rep. Scott Garrett Office Sought: House Senate President Senate President Disbursement Contribution Disbursement Contribution Candidate Name Rep. Scott Garrett Office Sought: House Senate President	nent For: Primary Other (spe	General ecify) ▼ Zip Code 07860 2016 General	Category/ Type 011 Category/	Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y		
c.	Purpose of Disbursement Contribution Candidate Name Liberty Project Office Sought: House Senate President State: District: Full Name (Last, First, Middle Initial) Scott Garrett for Congress Mailing Address P.O. Box 905 City Senate President Contribution Candidate Name Rep. Scott Garrett Office Sought: House Senate Disbursement Contribution Disbursement Contribution Candidate Name Rep. Scott Garrett Office Sought: House Senate	nent For: Primary Other (spe	General ecify) ▼ Zip Code 07860 2016 General	Category/ Type 011 Category/	Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	_	
C.	Purpose of Disbursement Contribution Candidate Name Liberty Project Office Sought: House Senate President State: District: Full Name (Last, First, Middle Initial) Scott Garrett for Congress Mailing Address P.O. Box 905 City Senate Purpose of Disbursement Contribution Candidate Name Rep. Scott Garrett Office Sought: House Senate President Senate President Disbursement Contribution Disbursement Contribution Candidate Name Rep. Scott Garrett Office Sought: House Senate President	nent For: Primary Other (spe State NJ nent For: Primary Other (spe	General ecify) ▼ Zip Code 07860 2016 General ecify) ▼	Category/ Type 011 Category/ Type	Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y		
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SCHEDULE B (FEC Form 3X)	FOR LINE NUMBER: PAGE 36 OF 36				
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only one)			
	Detailed Summary Page	21b 22 X 23 24 25 26			
		27 28a 28b 28c 29 30b			
		by any person for the purpose of soliciting contributions ommittee to solicit contributions from such committee.			
NAME OF COMMITTEE (In Full)					
$\Big angle$ Securities Industry and Financial N	larkets Association Po	litical Action Committee			
Full Name (Last, First, Middle Initial)					
A. Denny Heck For Congress		Date of Disbursement			
Mailing Address PO Box 235		04 27 2015			
City	State Zip Code	Transaction ID : 22419075			
Olympia	WA 98507	11alisaction iD . 22419073			
Purpose of Disbursement Contribution		011 Amount of Each Disbursement this Period			
Candidate Name	C	ategory/ 1000.00			
Rep. Denny Heck		Type 1000.00			
Office Sought: House Disburse	nent For: 2016 Primary General Other (specify)	Contribution			
State: WA District: 10					
Full Name (Last, First, Middle Initial)					
B. Sherman For Congress		Date of Disbursement			
Mailing Address 777 S. Figueroa St., Ste. 4050		04 27 2015			
Los Angeles	State Zip Code CA 90017	Transaction ID : 22419076			
Purpose of Disbursement Contribution		011 Amount of Each Disbursement this Period			
Candidate Name		ategory/			
Rep. Brad Sherman		Type 1000.00			
	nent For: 2016 Primary General Other (specify)	Contribution			
Full Name (Last, First, Middle Initial)		2. /2			
C. Friends Of Roy Blunt		Date of Disbursement			
Mailing Address PO Box 410444		04 27 2015			
City Kansas City	State Zip Code MO 64141	Transaction ID : 22419079			
Purpose of Disbursement Contribution	04141				
Candidate Name		Amount of Each Disbursement this Period			
Sen. Roy Blunt	C	ategory/ Type 4500.00			
	nent For: 2016	Турс			
X Senate	Primary Seneral	Contribution			
State: MO District:	Other (specify) ▼				
State: MO District:		6500.00			
		6500.00			